



Annexure- B

Complaint Data to be displayed by Investment Advisors

Format for investor complaints data:

Data for the month ending - October-2022

Sr. No.	Received from	Pending at the end of last month	Received	Resolved*	Total Pending#	Pending complaints > 3months	Average Resolution time^ (in days)
1	Directly from Investors	NIL	NIL	NIL	NIL	NIL	NA
2	SEBI (SCORES)	NIL	NIL	NIL	NIL	NIL	NA
3	Other Sources (if any)	NIL	NIL	NIL	NIL	NIL	NA
	Grand Total	NIL	NIL	NIL	NIL	NIL	NA

B.Trend of monthly disposal of complaints

Sr. No.	Month	Carried forward from previous month	Received	Resolved*	Pending#
1	June, 2022	NIL	NIL	NIL	NIL
2	July, 2022	NIL	NIL	NIL	NIL
3	Aug, 2022	NIL	NIL	NIL	NIL
4	Sept,2022	NIL	NIL	NIL	NIL
5	Oct, 2022	NIL	NIL	NIL	NIL
	Grand Total	NIL	NIL	NIL	NIL

*Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

Trend of annual disposal of complaints

SN	Year	Carried forward from previous year	Received	Resolved**	Pending##
1	2020-21	NIL	NIL	NIL	NIL
2	2021-22	NIL	NIL	NIL	NIL
3	2022-23	NIL	NIL	NIL	NIL
	Grand Total	NIL	NIL	NIL	NIL